

# Event Reporting to SWMBH

## Critical Incident and Risk Event Coding Charts

Incident Category	Event Type	Event Type Cause	Event Type Cause Reason	Additional Information
DEATH	1	Suicide		<p>**All Deaths are to be classified as Critical Incidents. In addition all suicides and homicides are <u>Sentinel Events</u>. Accidental and unexpected deaths in DCH defined settings (see PIHP policy) are Sentinel Events.</p> <p>Population on which death must be reported:</p> <p>Consumer who, at the time of their deaths, were actively receiving services and met any one of the following two conditions:</p> <p>1) Living in a 24-hour Specialized Residential setting (per the Administrative Rule R330.180-09) or in a Child-Caring Institution, or</p> <p>2) Receiving Community Living Supports, Supports Coordination, Targeted Case Management, ACT, Home-Based, Wraparound, Habilitation Supports Waiver Services, SED Waiver Services or Child Waiver Services</p>
	2	01: Natural Causes	<b>Reason:</b>	
			01: Heart Disease	
			02: Pneumonia/influenza	
03: Aspiration or Aspiration pneumonia				
04: Lung disease				
05: Vascular disease				
06: Cancer				
07: Diabetes mellitus				
08: Endocrine disorders				
09: Neurological disorders				
10: Acute bowel disease				
11: Liver disease/cirrhosis				
12: Kidney disease				
13: Infection, including AIDS				
14: Inanition				
15: Complication of treatment				
16: Unknown				
		02: Accidental/Unexpected		
		03: Homicide		
EMT Due to Injury/Medication Error	3		<b>Reason:</b>	
		01: Injury	01: Not during Physical Management	
		01: Injury	02: During Physical Management	
		02: Medication Error	M1: Missed	
		02: Medication Error	M2: Wrong Medication	
		02: Medication Error	M3: Wrong Dosage	
		02: Medication Error	M4: Wrong Time	
		02: Medication Error	M5: Pharmacy Error	
		02: Medication Error	M8: Medication protocol not followed	
		02: Medication Error	M10: Other	
Hospitalization due to Injury/Medication Error	4		<b>Reason:</b>	
		01: Injury	01: Not during Physical Management	
		01: Injury	02: During Physical Management	
		01: Injury	03: Unknown	
		02: Medication Error	M1: Missed	
		02: Medication Error	M2: Wrong Medication	
		02: Medication Error	M3: Wrong Dosage	
		02: Medication Error	M4: Wrong Time	
		02: Medication Error	M5: Pharmacy Error	
		02: Medication Error	M8: Medication protocol not followed	
02: Medication Error	M10: Other			
Arrest	5			

	Type	Subtype	Subtype Modifier	(More information)	
Other (Risk Event)	6	MEDICATION ISSUES	M1: Missed Medication		
			M2: Wrong Medication		
			M3: Wrong Dosage		
			M4: Wrong Time		
			M5: Pharmacy Error		
			M6: Med refusal	Known to staff at time of refusal, intervention attempted	
			M7: Med found/cheeking	Unknown to staff at time of refusal, no intervention	
			M8: Medication protocol not followed		
			M9: Disposed Medications	Expired, dropped, etc.	
			M10: Other		
		HEALTH & SAFETY ISSUES	H1: Injuries (bruises/scratches/cuts/bumps)	*Any emergency medical treatment/hospitalization from injury or medication error is classified as Critical	
			H2: Falls/Trips		
			H3: Medical Equipment/Apparatus Problem		
			H4: Emergency Medical Treatment		
			H5: Choking		
			H6: Illness/Sickness		
			H7: Seizure		
			H8: Hospitalization (medical)†		† Not due to planned surgery or the natural course of a chronic illness, such as when an individual has a terminal illness – Report as aware
			H9: Environmental Issues (exposure to cold weather, too much sun, mold, CO2)		
			H10: General health condition (other health issues outside injury/illness)		
			H11: Other (auto accidents, fire incident, etc.)		
		BEHAVIORAL /SOCIAL ISSUES	B1: Verbal Aggression		
			B2: Physical Aggression		
			B3: Physical Aggression to other(s) resulting in injury requiring medical treatment	*If incident results in serious illness/injury/hospitalization/emergency medical treatment, extremely negative outcomes and potential for significant harm	
			B4: Homicide gesture/threat		
			B5: Homicide attempt		
			B6: Inappropriate sexual contact		
			B7: Recipient of Physical Aggression or any Adverse Action		
			B8: Self-Harm		
			B9: Self-Harm resulting in injury requiring medical treatment		
			B10: Suicide gesture/threat		
			B11: Suicide attempt	*Any suicide attempt that results in serious injury which requires hospitalization (physical or psychological)	
			B12: Ingestion/PICA	*If the incident results in serious injury/hospitalization/emergency medical treatment	
B13: Substance Abuse	Use or possession of illicit drugs in program setting				
B14: Unauthorized Leave of Absence (elopement)	Report if incident poses serious threat to health and safety--If serious injury/hospitalization/emergency medical treatment occur it may be Sentinel/Critical				
B15: Property Damage					
B16: Stealing/theft					
B17: Disruption of service routine (refusal of meds, staff, med procedures)	*If incident results in serious illness/injury/hospitalization/emergency medical treatment				
B18: Calls to police by staff for intervention with behavioral crisis	Any calls to police for assistance when an individual receiving services is having behavioral crisis				
B19: Psychiatric Hospitalization					
B20: Other Behavioral Problems	*Other behavioral incidents with serious consequences, such as injury/hospitalization/emergency medical treatment, great harm or extremely adverse outcomes				
NON VIOLENT PRACTICES	P2: PRN Medication (behavior related; not at established treatment regimen)				
	P3: Anatomical/Physical Support (adaptive equipment to prevent self-injury)	**All injuries resulting from the use of physical restraint are to be classified as Sentinel Events			
	P4: Physical Management (restrict movement by direct physical contact)				
OTHER ISSUES	O1: Substantiation of Abuse/Neglect	May be reported a little later than other risk events due to ongoing investigations			
	O4: Other (APS/CPS, Community incident and other situations that are not categorized above)				

\*Potential for Critical Incident \*\*Potential for Sentinel Events